PTO-1390 (Rev. 07-2005)

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 20239/0202826-US0

U.S. APPLICATION NO. 6 Hoows see 376 FR 1.5)
PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE PCT/JP2004/013873 15 September 2004 16 September 2003

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. TITLE OF INVENTION DIAMOND ELECTRON EMITTER AND ELECTRON BEAM SOURCE USING SAME APPLICANT(S) FOR DO/EO/US Natsuo Tatsumi et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. d. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. Х An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. x An Information Disclosure Statement under 37 CFR 1.97 and 1.98.; PTO/SB/08 w/ 19 Refs. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. x 13. x A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. Х A substitute specification. 16 A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

## JC09 Rec'd PCT/PTO 21 OCT 2005,

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u.s. Affuld 19/15/15/4 s 13/8/18			INTERNATIONAL APPLICATION NO. PCT/JP2004/013873			ATTORNEY'S DOCKET NUMBER 20239/0202826-US0			
20. x Other items or information: Certifica			cate of Express Mailir	ng;	Claim for Priority w/	Form PCT/IB/3	04;	Return Receipt	
		Posice	aiu						
The foll	owing fees have	e been submitt	ed			CALCULATION	s	PTO USEONLY	
21. x Basic national fee (37 CFR 1.492(a))						\$ 300.0	$\neg$		
22. x Exan If the written opin prepared by If All other situation	\$ 200.0	ю							
23. X Seam If the written opin IPEA/US i Search fee (37 C International Sea previously All other situation	\$ 400.0	00							
All other situations\$500  TOTAL OF 21, 22 and 23 =						\$ 900.0	00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra Sheets		additional 50 or fraction up to a whole number)		RATE				
26 -100=	26 -100 = /50 =			x \$250.00			\$		
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).						\$			
CLAIMS	NUN	MBER FILED	NUMBER EXTRA		RATE				
Total claims 19		9 - 20 = x				0.00			
	Independent claims 2 - 3 =			x		0.0	00		
MULTIPLE DEP	ENDENT CLAIM(S	) (if applicable)		+		<u>-</u>			
TOTAL OF ABOVE CALCULATIONS =						\$ 900.00			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.									
SUBTOTAL =						\$ 900.00			
Processing fee o claimed priority d	\$								
	\$ 900.00								
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$ 40.00			
TOTAL FEES ENCLOSED =						\$		940.00	
						Amount to be refunded:	\$		
						Amount to be charged	\$		
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## 10/554188 JC09 Rec'd PCT/PT0 21 OCT 2005, PTO-1390 (Rev. 07-2005) Approved for use through 03/31/2007. OMB 0651-0021 U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE The a collection of information piles it displays a valid OVAB control pumper.

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NOTE: Where an appropriate time limit under 37 CFR 1.495 I filed an granted to restore the International Application to pe	nas not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be ending status.								
	Musella								
SEND ALL CORRESPONDENCE TO:	SIGNATURE MARIE COLLABO								
Joseph R. Robinson DARBY & DARBY P.C.	SIGNATURE MARIE COLCASO 44085								
P.O. Box 5257	Chris T. Mizumoto								
New York, New York 10150-5257 (212) 527-7783	NAME								
CUSTOMER NUMBER: 07278	40.000								
COSTOMER NOMBER. CYZYC	42,899  REGISTRATION NUMBER								

Application No. (if known):	Attorney Docket No.: 20239/0202826-US0			
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Transmittal Letter to the United States Designated-Elected Office (3 pgs) Application Data Sheet (3 pgs); First Preliminary Amendment (7 pgs) Verification of English Translation (1 pg)

English translation of the International publication no. WO 2005/027172 (Spec: 18 pgs, Claims: 3 pgs, Abstract: 1pg, Drawings: Figs. 1-8; 4 pgs)

Executed DEC & POA (4 pgs)

Recordation Form Cover Sheet (1 pg) w/ Assignment (2 pgs)

Claim for Priority (1 pg) w/ Form PCT/IB/304 (1 pg)

Information Disclosure Statement (2 pgs); PTO/SB/08 (2 pgs) w/ 19 Refs. Check No. <u>/096</u> in the amount of \$940.00 Return Receipt Postcard